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SENDER: Ralph E. Jocke, Esq.

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COMMENTS: Please file in Application 10/697,956 (Docket No.: D-1173 R),  
the attached "Comments on the Substance of the Interview".

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Ralph E. Jocke  
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April 5, 2010

Mail Stop Amendment  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Attn: Art Unit 2887  
Patent Examiner Tae Kim

Re: Application No.: 10/697,956  
Confirmation No.: 7798  
Applicant: Thomas Mason  
Title: Delayed Annunciation Of Receipt Jam For  
Cash Dispensing Automated Banking Machine  
Docket No.: D-1173 R

Sir:

Please file the enclosed "Comments on the Substance of the Interview".

No fee is deemed required. However, the Commissioner is authorized to charge any necessary fee associated with this Response and any other fee due to Deposit Account 09-0428.

Very truly yours,

A handwritten signature in black ink, appearing to be "R. Jocke".

Ralph E. Jocke  
Reg. No. 31,029

CERTIFICATION UNDER 37 C.F.R. SECTIONS 1.8(a) AND 1.6(d)

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D-1173 R

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	)	
Thomas Mason	)	
Application No.: 10/697,956	)	Art Unit 2887
Confirmation No.: 7798	)	
Filed: October 30, 2003	)	Patent Examiner
	)	Tae Kim
Title: Delayed Annunciation Of Receipt	)	
Jam For Cash Dispensing	)	
Automated Banking Machine	)	

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

Kindly enter Applicants' "Comments on the Substance of the Interview" without  
prejudice as follows:

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Comments on the Substance of the Interview

On March 18, 2010 the Examiner called representative Ralph Jocke (Reg. No. 31,029) to indicate that the restriction would be withdrawn and that claims 14, 18, and 31 were allowable. (Applicant's Comments regarding the restriction were previously filed on November 23, 2009).

On March 23, 2010 representative Daniel Wasil (Reg. No. 45,303) called the Examiner to inform him that an informal proposed amendment would be faxed to him. In the proposed amendment the allowable claims 14, 18, and 31 would be in an independent format. The representative requested that the proposed amendment be entered by Examiner's amendment. The proposed amendment was faxed to the Examiner on March 24, 2010. A copy of the proposed amendment is attached. The courtesy provided by the Examiner was appreciated.

Respectfully submitted,



Ralph E. Jocke      Reg. No. 31,029  
Daniel D. Wasil      Reg. No. 45,303  
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SENDER: Ralph E. Jocke  
WALKER & JOCKE

SENDER FAX NO.: (330) 722-6446

SENDER PHONE: (330) 721-0000

COMMENTS: Attached is an **Informal Proposed Amendment** for the Examiner's consideration with regard to application 10/697,956 (D-1173 R).

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## CERTIFICATION UNDER 37 C.F.R. SECTIONS 1.8(a) AND 1.6(d)

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**FACSIMILE TRANSMISSION COVER SHEET**

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D-1173 R

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Thomas Mason

Application No.: 10/697,956

Art Unit 2887

Confirmation No.: 7798

Filed: October 30, 2003

Patent Examiner

Tae Kim

Title: Delayed Annunciation Of Receipt  
Jam For Cash Dispensing  
Automated Banking MachineCommissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**Informal Proposed Amendment For Purposes Of Examiner Consideration**

In response to an invitation from the Examiner, proposed herein without prejudice is a claim amendment for the Examiner's consideration.

Amendments to the Claims

1-4. (canceled)

5. (currently amended) The method according to claim 14 ~~[[1]]~~ wherein (e) ~~[[step (c)]]~~ includes generating a receipt jam signal.

6. (currently amended) The method according to claim 14 and further comprising: ~~wherein (a)~~ includes

- (i) prior to (a), printing the first receipt with a printer in the machine ~~[[,]]~~
- (ii) ~~moving the first receipt to adjacent a receipt outlet of the machine, wherein receipts sensed adjacent the receipt outlet generally extend through the receipt outlet and are accessible to a machine user;~~
- (iii) ~~sensing that the first receipt adjacent the receipt outlet is not removed within a first time period, after the first receipt is moved adjacent to the receipt outlet.~~

7. (currently amended) The method according to claim 6 and further comprising: wherein (b) includes

- (iv) prior to (c), printing the second receipt with the printer ~~[[,]]~~



- (v) moving the second receipt to adjacent the receipt outlet;
- (vi) sensing that at least one of the first receipt and second receipt is adjacent the receipt outlet a second time period after the second receipt is moved adjacent to the receipt outlet.

8. (currently amended) The method according to claim 14 [[7]] and further comprising:

responsive at least in part to (b), storing in a data store user identifying data associated with the first receipt failure a user conducting the first transaction, and

storing in a data store user identifying data associated with a user conducting the second transaction.

9. (canceled)

10. (currently amended) The method according to claim 14 [[9]] and further comprising:

- (f) prior to step (d), storing in a data store, data corresponding to an image of at least a portion of a machine user associated with the first receipt; and the prior user;

~~wherein step (d) includes~~

~~storing data corresponding to at least one input to the machine by the prior user;~~  
and

(g) associating in the data store, the image with the first receipt failure at least one input.

11. (canceled)

12. (currently amended) The method according to claim 14 [[9]] wherein the machine malfunction signal includes a receipt jam signal, and further comprising:

(f) prior to (a) [[step (d)]], storing in a data store user identifying data associated with at least one earlier user conducting a transaction with the machine prior to the transaction prior user; and

(g) analyzing user identifying data from the data store to identify prior identifying users of the machine associated with

transactions associated with respective receipt jam signals, and

transactions prior to receipt jam signals,

for purposes of identifying who may have tampered with the machine.

13. (canceled)

14. (currently amended) ~~The method according to claim 1 and further comprising:~~

A method of operating an automated banking machine adapted to dispense cash and to provide receipts for transactions conducted with the machine, comprising:

- (a) ~~prior to sensing the failure in (a);~~ sending through operation of the machine, a  
[[the]] first receipt in a [[the]] receipt path toward a receipt outlet of the machine;
- (b) determining through operation of the machine, failure to deliver the first receipt  
from the machine through the receipt path, wherein (a) includes including  
determining that the first receipt is in a jammed condition in the receipt path,  
wherein the first receipt is associated with a transaction conducted through  
operation of the machine;
- (c) ~~prior to sensing the failure in (b);~~ prior to generation of a machine malfunction  
signal indicating receipt delivery failure and while the first receipt remains in the  
machine, sending through operation of the machine a [[the]] second receipt in the  
receipt path toward the receipt outlet, wherein the second receipt sequentially  
immediately follows the first receipt in the receipt path;

- (d) determining through operation of the machine whether the first receipt becomes freed from the jammed condition in the receipt path in response to the sending in (c) of the second receipt; and
- (e) operating at least one computer of the machine to cause wherein the machine malfunction signal ~~is caused~~ to be generated ~~[[in (c)]]~~ responsive at least in part to the occurrence of (b) and a negative determination in (d) that the first receipt became freed.

15. (currently amended) The method according to claim 14 wherein the second receipt comprises a dummy receipt, wherein (c) includes sending a dummy receipt in the receipt path

~~7 wherein (a) includes subsequent to (iii) and prior to (iv);~~

- (vii) ~~attempting to retract the first receipt in the machine away from the receipt outlet through operation of a receipt retraction device in the machine.~~

16. (currently amended) The method according to claim 15 and further comprising:

- (f) prior to (a), storing the dummy receipt in the machine

~~15 wherein (a) includes subsequent to (vii) and prior to (iv);~~

(viii) ~~sensing that the first receipt is not retracted away from the receipt outlet through operation of the receipt retraction device in (vii).~~

17. (currently amended) The method according to claim 14 wherein at least one of the first receipt and the second receipt comprises a dummy receipt, wherein at least one of (a) and (c) includes sending a dummy receipt in the receipt path

~~+5 wherein (a) includes prior to (iv);~~

~~sensing that the first receipt is retracted away from the receipt outlet through operation of the receipt retraction device.~~

18. (currently amended) A method of operating an automated banking machine adapted to dispense cash and to provide receipts for transactions conducted with the machine, comprising:

- (a) sending through operation of the machine, a first receipt in a receipt path toward a receipt outlet of the machine;
- (b) determining through operation of the machine that the first receipt is in a jammed condition in the receipt path;

- (c) prior to generation of a signal indicative of a machine malfunction and while the first receipt remains in the jammed condition in the receipt path, sending through operation of the machine a second receipt in the receipt path toward the receipt outlet, wherein the second receipt sequentially immediately follows the first receipt in the receipt path;
- (d) determining through operation of the machine whether the first receipt becomes freed from the jammed condition in the receipt path in response to the sending of the second receipt in step (c); and
- (e) responsive at least in part to a negative determination in step (d), ~~determining that the second receipt is in a jammed condition in the receipt path and~~ generating through operation of the machine the signal indicative of a machine malfunction.

19. (currently amended) The method according to claim 18 and further comprising:

- (f) prior to (a), printing the first receipt through operation of the machine, including printing indicia corresponding to a particular transaction; and
- (g) subsequent to (f), printing the second receipt through operation of the machine.

20. (currently amended) The method according to claim 19 wherein the second receipt comprises a dummy receipt, wherein (g) includes printing a receipt not corresponding to a particular transaction.

21-27. (canceled)

28. (currently amended) The method according to claim 18 ~~[[22]]~~ wherein the automated banking machine comprises an ATM, and performing steps (a)-(e) ~~[[a)-(g)]]~~ with the ATM.

29. (currently amended) The method according to claim ~~28~~ ~~wherein (d) includes~~ 18 and further comprising:

(f) prior to (a), printing indicia corresponding to a transaction carried out through operation of the ATM machine, on the second first receipt.

30. (currently amended) The method according to claim 29 ~~[[28]]~~ wherein the machine ATM includes a cash dispenser, and further comprising

(g) ~~[[h)]~~ dispensing an amount of cash;

[[i)] wherein (f) includes printing indicia associated with the amount of cash dispensed in (g) ~~[[h)]~~ on one of the first receipt and the second receipt.

31. (currently amended) ~~The method according to claim 22~~ A method of operating an automated banking machine adapted to dispense cash and to provide receipts for transactions conducted at the machine, wherein the machine includes a receipt outlet accessible to a user of the machine, comprising:

- (a) printing a first receipt through operation of a printing device in the machine,
- (b) wherein step (b) includes sending through operation of the machine, the first receipt in [[the]] a receipt path toward the receipt outlet,
- (c) wherein step (c) includes subsequent to (b), determining through operation of the machine that the first receipt is in a jammed condition in the receipt path,
- (d) subsequent to (c), printing a second receipt through operation of the printing device,
- (e) wherein step (e) includes prior to generation of the receipt jam signal and while the first receipt remains in the jammed condition in the receipt path and prior to generation of a receipt jam signal involving the machine, sending through operation of the machine the second receipt in the receipt path toward the receipt outlet, wherein the second receipt sequentially immediately follows the first receipt in the receipt path,



~~and further comprising~~

(f) subsequent to (e), determining through operation of the machine whether the first receipt becomes freed from the jammed condition in the receipt path in response to the sending of the second receipt, and

(g) ~~wherein step (g) includes~~ generating the receipt jam signal responsive at least in part to a negative determination in (f) that the first receipt became freed.

32. (canceled)

33. (currently amended) The at least one article according to claim 36 ~~[[32]]~~, wherein the method further includes prior to (a) ~~[[b)]]~~,

printing the first receipt through operation of a printer in the machine, ~~and~~

~~moving the first receipt adjacent to a receipt outlet of the machine.~~

34. (canceled)

35. (previously presented) The method according to claim 18 wherein the second receipt comprises a dummy receipt, and further comprising:

prior to step (a), storing the dummy receipt in the machine.

36. (new) At least one article comprising computer readable media including computer executable instructions operative to cause at least one computer of an automated banking machine including a cash dispenser to carry out a method comprising:

- (a) sending a first receipt in a receipt path toward a receipt outlet of the machine;
- (b) determining that the first receipt is in a jammed condition in the receipt path;
- (c) prior to generation of a signal indicative of a machine malfunction and while the first receipt remains in the jammed condition in the receipt path, sending a second receipt in the receipt path toward the receipt outlet, wherein the second receipt sequentially immediately follows the first receipt in the receipt path;
- (d) determining whether the first receipt becomes freed from the jammed condition in the receipt path in response to the sending of the second receipt in (c); and
- (e) responsive at least in part to a negative determination in (d), generating the signal indicative of a machine malfunction.

**REMARKS**

Claims 5-8, 10, 12, 14-20, 28-31, 33, and 35-36 are pending. Claims 1-4, 9, 11, 13, 21-27, 32, 34 are canceled. The Examiner's invitation to present the above proposed amendment is greatly appreciated. Consideration thereof is respectfully requested. No new matter will be added.

Four total independent claims remain pending, i.e., claims 14, 18, 31, and 36. Thus, no additional claim fee is deemed necessary. Claims 14 and 31 have been placed into an independent format. Redundant language (which is already in b) has been deleted from claim 18 at (c). New article claim 36, which replaces the previous article claim 32, corresponds to claim 18. Claim 36 has been added to constitute the allotted fourth independent claim.

Applicant respectfully submits that entry of the above proposed amendment will place the application in condition for allowance. Thus, the *Examiner is authorized to enter the proposed amendment by Examiner's Amendment* without prejudice, in order to place the application in condition for allowance. As a courtesy to the Office, a clean version (having numbered pages C1-C9) of the above proposed amendment is attached for use in the Examiner's Amendment.

The undersigned is willing to discuss any aspect of the application.

Respectfully submitted,

/Ralph E. Jocke/

---

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Daniel D. Wasil    Reg. No. 45,303  
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Clean Version of the Proposed Amendment

1-4. (canceled)

5. (currently amended) The method according to claim 14 wherein (e) includes generating a receipt jam signal.

6. (currently amended) The method according to claim 14 and further comprising:

prior to (a), printing the first receipt with a printer in the machine.

7. (currently amended) The method according to claim 6 and further comprising:

prior to (c), printing the second receipt with the printer.

8. (currently amended) The method according to claim 14 and further comprising:

responsive at least in part to (b), storing in a data store user identifying data associated with the first receipt failure.

9. (canceled)

10. (currently amended) The method according to claim 14 and further comprising:

- (f) storing in a data store, data corresponding to an image of at least a portion of a machine user associated with the first receipt; and
- (g) associating in the data store, the image with the first receipt failure.

11. (canceled)

12. (currently amended) The method according to claim 14 wherein the machine malfunction signal includes a receipt jam signal, and further comprising:

- (f) prior to (a), storing in a data store user identifying data associated with at least one earlier user conducting a transaction with the machine prior to the transaction; and
- (g) analyzing user identifying data from the data store to identify prior users of the machine associated with

transactions associated with respective receipt jam signals, and

transactions prior to receipt jam signals,

for purposes of identifying who may have tampered with the machine.

13. (canceled)

14. (currently amended) A method of operating an automated banking machine adapted to dispense cash and to provide receipts for transactions conducted with the machine, comprising:

- (a) sending through operation of the machine, a first receipt in a receipt path toward a receipt outlet of the machine;
- (b) determining through operation of the machine, failure to deliver the first receipt from the machine through the receipt path, including determining that the first receipt is in a jammed condition in the receipt path, wherein the first receipt is associated with a transaction conducted through operation of the machine;
- (c) prior to generation of a machine malfunction signal indicating receipt delivery failure and while the first receipt remains in the machine, sending through operation of the machine a second receipt in the receipt path toward the receipt outlet, wherein the second receipt sequentially immediately follows the first receipt in the receipt path;
- (d) determining through operation of the machine whether the first receipt becomes freed from the jammed condition in the receipt path in response to the sending in (c) of the second receipt; and

- (e) operating at least one computer of the machine to cause the machine malfunction signal to be generated responsive at least in part to the occurrence of (b) and a negative determination in (d) that the first receipt became freed.

15. (currently amended) The method according to claim 14 wherein the second receipt comprises a dummy receipt, wherein (c) includes sending a dummy receipt in the receipt path.

16. (currently amended) The method according to claim 15 and further comprising:

- (f) prior to (a), storing the dummy receipt in the machine.

17. (currently amended) The method according to claim 14 wherein at least one of the first receipt and the second receipt comprises a dummy receipt, wherein at least one of (a) and (c) includes sending a dummy receipt in the receipt path.

18. (currently amended) A method of operating an automated banking machine adapted to dispense cash and to provide receipts for transactions conducted with the machine, comprising:

- (a) sending through operation of the machine, a first receipt in a receipt path toward a receipt outlet of the machine;

- (b) determining through operation of the machine that the first receipt is in a jammed condition in the receipt path;
- (c) prior to generation of a signal indicative of a machine malfunction and while the first receipt remains in the jammed condition in the receipt path, sending through operation of the machine a second receipt in the receipt path toward the receipt outlet, wherein the second receipt sequentially immediately follows the first receipt in the receipt path;
- (d) determining through operation of the machine whether the first receipt becomes freed from the jammed condition in the receipt path in response to the sending of the second receipt in step (c); and
- (e) responsive at least in part to a negative determination in step (d), generating through operation of the machine the signal indicative of a machine malfunction.

19. (currently amended) The method according to claim 18 and further comprising:

- (f) prior to (a), printing the first receipt through operation of the machine, including printing indicia corresponding to a particular transaction; and
- (g) subsequent to (f), printing the second receipt through operation of the machine.



20. (currently amended) The method according to claim 19 wherein the second receipt comprises a dummy receipt, wherein (g) includes printing a receipt not corresponding to a particular transaction.

21-27. (canceled)

28. (currently amended) The method according to claim 18 wherein the automated banking machine comprises an ATM, and performing steps (a)-(e) with the ATM.

29. (currently amended) The method according to claim 18 and further comprising:

(f) prior to (a), printing indicia corresponding to a transaction carried out through operation of the machine, on the first receipt.

30. (currently amended) The method according to claim 29 wherein the machine includes a cash dispenser, and further comprising

(g) dispensing an amount of cash;

wherein (f) includes printing indicia associated with the amount of cash dispensed in (g) on the first receipt.

31. (currently amended) A method of operating an automated banking machine adapted to dispense cash and to provide receipts for transactions conducted at the machine, wherein the machine includes a receipt outlet accessible to a user of the machine, comprising:

- (a) printing a first receipt through operation of a printing device in the machine,
- (b) sending through operation of the machine, the first receipt in a receipt path toward the receipt outlet,
- (c) subsequent to (b), determining through operation of the machine that the first receipt is in a jammed condition in the receipt path,
- (d) subsequent to (c), printing a second receipt through operation of the printing device,
- (e) while the first receipt remains in the jammed condition in the receipt path and prior to generation of a receipt jam signal involving the machine, sending through operation of the machine the second receipt in the receipt path toward the receipt outlet, wherein the second receipt sequentially immediately follows the first receipt in the receipt path,

- (f) subsequent to (e), determining through operation of the machine whether the first receipt becomes freed from the jammed condition in the receipt path in response to the sending of the second receipt, and
- (g) generating the receipt jam signal responsive at least in part to a negative determination in (f) that the first receipt became freed.

32. (canceled)

33. (currently amended) The at least one article according to claim 36, wherein the method further includes prior to (a),

printing the first receipt through operation of a printer in the machine.

34. (canceled)

35. (previously presented) The method according to claim 18 wherein the second receipt comprises a dummy receipt, and further comprising:

prior to step (a), storing the dummy receipt in the machine.

36. (new) At least one article comprising computer readable media including computer executable instructions operative to cause at least one computer of an automated banking machine including a cash dispenser to carry out a method comprising:

- (a) sending a first receipt in a receipt path toward a receipt outlet of the machine;
- (b) determining that the first receipt is in a jammed condition in the receipt path;
- (c) prior to generation of a signal indicative of a machine malfunction and while the first receipt remains in the jammed condition in the receipt path, sending a second receipt in the receipt path toward the receipt outlet, wherein the second receipt sequentially immediately follows the first receipt in the receipt path;
- (d) determining whether the first receipt becomes freed from the jammed condition in the receipt path in response to the sending of the second receipt in (c); and
- (e) responsive at least in part to a negative determination in (d), generating the signal indicative of a machine malfunction.